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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Attorney Docket No. S004-3288 (DIV)

Mailing Date: JANUARY 14, 2000

Express Mail No. EF 894 199 125 US

ASSISTANT COMMISSIONER FOR PATENTS
Box PATENT APPLICATION
WASHINGTON, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a
Nonprovisional Utility Patent:

___ New Application; or a ___ Continuation, X Division,
___ Continuation-in-Part (CIP) Application of prior US
application No. 08/944,662, filed on October 6, 1997; of

Inventors: Yoshiaki SAITA and Osamu TAKIZAWA

For(Title): THERMAL HEAD AND METHOD OF MANUFACTURING THE SAME

This transmittal letter has 3 pages.

Enclosed are:

- X Enclosed are five sheets of drawings, along with 15 pages of specification, claims and abstract.
- X Oath or Declaration Combined with Power of Attorney (2 pages)
 - ___ Newly Executed (original or copy)
 - X Copy from a prior application (if this is a Continuation/Division with no new matter)
 - ___ Signed statement deleting named inventor(s) in prior application if this is a Continuation/Division (See 37 CFR 1.63(d) and 1.33(b)).
- ___ A certified copy of a _____ (non-US) application No. _____, having a filing date of _____.
- ___ An Assignment Transmittal Letter and Assignment of the invention.
- ___ A verified statement to establish small entity status under 35 CFR 1.9 and 1.27
 - ___ is enclosed
 - ___ was filed in prior application and such status is still proper and desired (37 CFR 1.28(a)).
- X An information Disclosure Statement, with Form PTO-1449.

The PTO will receive the following listed item(s):

(2) Check for 3B 78-04

X Preliminary Amendment together with abstract of the disclosure, one sheet of informal drawing and check in the amount \$78.00.

X Return Receipt Postcard.

Instructions:

X Incorporation by Reference (for Continuation/Division application). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

X Since the present application is based on a prior U.S. application, please amend the specification by adding the following sentence before the first sentence of the specification:
"The present application is based on prior US application Serial No. 08/944,662, filed on October 6, 1997, which is hereby incorporated by reference, and priority thereto for common subject matter is hereby claimed."

X Priority of Application No. 8-266338 filed on October 7, 1996 in Japan is claimed under 35 U.S.C. §119. The certified copy
_____ have not been filed.
_____ is enclosed.
X was filed in parent application Serial No. 08/944,662.

X The prior application is assigned of record to SEIKO INSTRUMENTS INC.

X Cancel in this application pending claims 1-3 of the prior application before calculating the filing fee.

X The filing fee is calculated as follows:

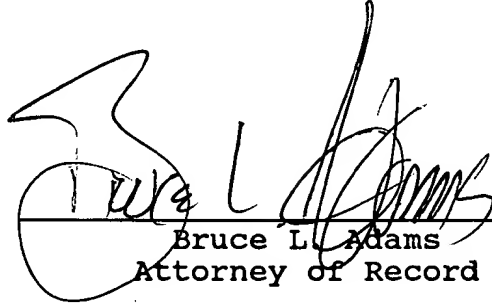
CLAIMS AS FILED, LESS ANY CANCELED BY AMENDMENT

	Number Filed	Number Extra	Fee for Small Entity	Fee for other than Small Entity
Fee			Fee	Fee
Basic fee	2	0	\$345	\$690
Total			\$345	Total \$690

X Check in the amount of \$690.00 for the filing fee as calculated above is enclosed.

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 01-0268. A duplicate copy of this sheet is enclosed.

JANUARY 14, 2000


Bruce L. Adams
Attorney of Record

Please forward all correspondences to:

Bruce L. Adams
Adams & Wilks
50 Broadway, 31st Floor
New York, NY 10004
(212) 809-3700

Re: New patent application of Yoshiaki SAITA et al.

for THERMAL HEAD AND METHOD OF MANUFACTURING THE SAME

comprising transmittal letter, title, specification, claims and abstract (pgs.1-15), declaration and power of attorney, five sheets of formal drawings containing thereon Figs. 1-13, information disclosure statement under Patent Rules 97 and 98, Form PTO-1449, preliminary amendment, abstract of the disclosure, one sheet of informal drawing, check in the amount \$78.00, express mail certification and check in the amount \$690.00

Attorney's Docket No: S004-3288(DIV)

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EF 894 199 125 US

Date of Mailing: JANUARY 14, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to ASSISTANT COMMISSIONER FOR PATENTS, Box PATENT APPLICATION, Washington, DC 20231.


DONNA RICCARDULLI